

2016 RIO OLYMPIC GAMES
AMENDED (3) NOMINATION CRITERIA FOR INDIVIDUAL EVENTS

EQUESTRIAN SPORTS NEW ZEALAND INCORPORATED (ESNZ)

1. Application of this Nomination Criteria

- 1.1 This Nomination Criteria is issued by the Board of **ESNZ**.
- 1.2 This Nomination Criteria shall take effect from **1st April 2015**.
- 1.3 This Nomination Criteria applies to:
- (a) all Athletes wishing to be considered for nomination to the Games Team to compete in the Games in a Discipline Event; and
 - (b) **ESNZ** including its ESNZ Selectors, that wish to nominate Athletes to NZOC to be considered for selection to the Games Team for the Games.

2. ESNZ Selectors

- 2.1 **Composition:** The **ESNZ** Selectors shall be appointed by **ESNZ Board**. The **ESNZ** Selectors from who will consider nomination of Athletes to NZOC to be considered for selection in the Games Team are:

Dressage: Mrs Helen Hughes-Keen
Mrs Judy Alderdice
Mr Robin Haberfield

Eventing: Mrs Judy Bradwell
Mr Bill Phiskie
Mrs Cindy Llewellyn

Jumping: Mr Duncan McFarlane
Two members of the Jumping Selection Committee

3. Nomination Procedure

- 3.1 **Conditions of Nomination:** The **ESNZ** Selectors may only consider an Athlete for nomination if the Athlete has satisfied the following conditions of nomination:
- (a) has returned a completed Athlete Application to **ESNZ** prior to the Application Date in the form prescribed by the NZOC; and
 - (b) has demonstrated to the satisfaction of **ESNZ** that they are not suffering any physical or mental impairment that would prevent them from competing in the Games to the highest possible standard; and
 - (c) has acted in such a manner so as not to bring the Athlete, the sport, **ESNZ** or the NZOC into public disrepute; and

- (d) to **ESNZ's** knowledge, has not used or administered any substance which, if it had been detected as being present in the Athlete's body tissue or fluids, would have constituted doping, or used any prohibited method or committed any other doping offence as defined in the FEI's or NZOC's Anti-Doping Bylaw. Horses must also be free of any performance enhancing or prohibited substances as detailed in FEI Equine Anti-Doping & Controlled Medications Regulations; and
- (e) has achieved the relevant minimum eligibility standard for that Discipline as set by the FEI and available through the FEI website – www.fei.org/events/games/olympic-games/rio-2016; and
- (f) from 5 February 2016 has provided their name and contact address details to the NZOC for the purpose of out of competition drug testing by DFSNZ; and
- (g) has a horse(s) which have had health and soundness approved by an **ESNZ** approved vet by the Nomination Date; and
- (h) has returned a completed Athlete Agreement in the manner prescribed by the NZOC prior to the Nomination Date; and
- (i) is a member of their respective discipline High Performance Squad at the Nomination Date.

3.2 **Qualification:** New Zealand must qualify for places in an event(s) in accordance with the FEI's Qualification System – Games of the XXXI Olympiad – Rio 2016 ("FEI Qualification System") and/or FEI requirements for the Games. Qualification for a place in any event(s) by an Athlete (or group of Athletes) does not guarantee that Athlete (or group of Athletes) will be nominated or selected to compete in the event(s) at the Games.

3.3 **Nomination if Nomination Criteria Met:** Provided the requirements set out in clause 3.1 and 3.2 are met, the **ESNZ** Selectors shall nominate those Athletes it considers meet the Nomination Criteria set out below.

4. Nomination Criteria

4.1 **Nomination Criteria:** The **ESNZ** Nomination Criteria for nomination to the Games Team is made up of two parts:

- (a) the Over-Riding Nomination Criteria specified in clause 4.2; and
- (b) the Specific Nomination Factors specified in clause 4.3.

4.2 **Over-Riding Nomination Criteria:**

- (a) In determining whether or not to nominate an Athlete to any Discipline Event the **ESNZ** Selectors must be satisfied overall that:
 - i. the Athlete (or group of Athletes) is or are capable of achieving a top 16 placing at the Games in a Discipline Event, with the potential to win an Olympic Diploma (top 8 placing); and
 - ii. the Athlete (or group of Athletes) has or have a track record of sufficient quality and depth that **ESNZ** believes demonstrates the Athlete will be competitive at the Games and will perform credibly in the Discipline Event.

(b) Evidence: In determining whether or not the Athlete has or have met the Over-Riding Nomination Criteria for Discipline Events in clause 4.2(a) above, the **ESNZ** Selectors shall consider the Athlete's performances and results in the Discipline Event at which they seek to be selected for the Games as outlined in the discipline Performance Criteria as set out in Appendices 1-3 of this Nomination Criteria.

4.3 **Specific Nomination Factors**: When considering the Over-Riding Nomination Criteria above, the **ESNZ** Selectors may also take into account any one or more of the following factors about an Athlete:

- (a) any other performances or results in competitions / events in addition to the Key Events;
- (b) adequate fitness and a commitment to maintain a fitness and training programme as agreed with the applicable coach(es);
- (c) demonstrated good behaviour in accordance with the **ESNZ** Constitution, Regulations and Code of Conduct, including a commitment to training and attendance at training camps;
- (d) demonstrated compatibility with others in a team environment;
- (e) demonstrated compliance with the rules of events and competitions;
- (f) understanding and respect for the obligations that accompany being a member of a New Zealand team when competing at the Games, including respect for team members and support staff;
- (g) willingness to promote **ESNZ** in a positive manner;
- (h) demonstrated ability to take personal responsibility for self and their results;
- (i) proven ability to be reliable;
- (j) working according to their **ESNZ** High Performance Individual Performance Plans; and
- (k) any other factor(s) the **ESNZ** Selectors consider relevant.

4.4 **Own Enquiries**: In considering any one or more of the above factors, the **ESNZ** Selectors may make such enquiries of the Athlete, or other persons, as they see fit.

4.5 **Weight to be given to Specific Nomination Factors**: The **ESNZ** Selectors may give weight to any one or more of the Specific Nomination Factors and, if it does, to apply such weighting to one or more Athletes. No particular factor shall be weighted more or less significantly by reason only of the order in which that factor appears in this Nomination Criteria.

4.6 **Extenuating Circumstances**: In any decision regarding the nomination of Athletes to the Games Team, the **ESNZ** Selectors may, in their sole discretion, take into account any extenuating circumstances in accordance with clause 5 below.

4.7 **Nomination Procedure**: After consideration of this Nomination Criteria, the **ESNZ** Selectors shall follow the procedure set out in clause 7 of the NZOC / **ESNZ** Agreement.

5. Extenuating Circumstances

- 5.1 **Extenuating Circumstances:** In considering the nomination of Athletes in accordance with this Nomination Criteria, the **ESNZ** Selectors may, in their sole discretion, give weight to any extenuating circumstances which may include, but are not limited to, the following:
- (a) injury or illness;
 - (b) travel delays;
 - (c) horse injury;
 - (d) bereavement or personal misfortune; and/or
 - (e) any other factors reasonably considered by the **ESNZ** Selectors to constitute extenuating circumstances.
- 5.2 **Athlete to Advise:** Athletes unable to compete at events, trials, or other attendances required under this Nomination Criteria, must advise the **ESNZ** HPD of the extenuating circumstances and reasons, in writing, with as much advance notice as possible and ideally seven (7) days prior to the commencement of the event, trial or other attendance. If the HPD is not notified of any extenuating circumstances in accordance with this Nomination Criteria, then the **ESNZ** Selectors have no obligation to rely on such circumstances.
- 5.3 **Medical Certificate:** In the case of injury or illness, Athletes may be required by the **ESNZ** Selectors to provide a medical/Veterinarian certificate and/or to undergo an examination by a health practitioner/s/Veterinarian nominated by the **ESNZ** Selectors, and to provide that opinion and/or report to the **ESNZ** Selectors. Any failure to agree to such a request may result in the **ESNZ** Selectors being unable to consider the injury or illness as an extenuating circumstance.
- 5.4 **Case by Case:** In the case of any extenuating circumstance/s, the **ESNZ** Selectors will make a decision on a case-by-case basis.

6. Appeal Procedure

- 6.1 **Nomination Appeals:** An Athlete may appeal to **ESNZ** against their non-nomination to the NZOC by the **ESNZ** Selectors provided that the Athlete has returned a completed:
- (a) Athlete Application to **ESNZ** by the Application Date (that has been certified by **ESNZ**); and
 - (b) Athlete Agreement in the manner directed by the NZOC by the Nomination Date.
- 6.2 **Procedures for Nomination Appeals:** Any appeal under paragraph 6.1 must be made in accordance with the procedures set out in clause 11 of the NZOC / **ESNZ** Agreement.

7. Inconsistencies

- 7.1 **NZOC / ESNZ Agreement:** In the event there is any inconsistency between this Nomination Criteria and the NZOC / **ESNZ** Agreement, the NZOC / **ESNZ** Agreement shall prevail.

7.2 **IF Qualification System:** If this Nomination Criteria imposes a higher qualification standard or a lesser number of participants than stated by the IF Qualification System, this shall not be regarded as an inconsistency.

8. Amendments to this Nomination Criteria

8.1 **Prior to Nomination Date:** This Nomination Criteria may be amended or supplemented from time to time by the Board, before the Nomination Date, provided NZOC has prior approved the amendment/s and supplement/s.

8.2 **Notice:** The Board will give as much notice as possible of any amendment/s or supplement/s made under clause 8.1 to this Nomination Criteria, to persons it considers may be affected by any such amendment/s or supplement/s.

9. Definitions

9.1 **Application Date** means 26th July 2015, by which Athletes must submit a completed Athlete Application in accordance with clause 6.1(a) of the NZOC / **ESNZ** Agreement.

9.2 **Athlete** means a person who wishes to be considered for nomination to the Games Team.

9.3 **Athlete Agreement** means the agreement that must be completed by any Athlete wishing to be considered for nomination and selection to the Games Team in accordance with clause 6.1(b) of the NZOC / **ESNZ** Agreement.

9.4 **Athlete Application** means the form that must be completed by any Athlete applying to be nominated and selected to the Games Team and which is attached as Schedule A to the NZOC / **ESNZ** Agreement.

9.5 **Board** means the Board of **ESNZ** as constituted under the Constitution.

9.6 **Constitution** means the Constitution of **ESNZ**.

9.7 **Discipline** means a discipline of **ESNZ**, being Dressage, Jumping or Eventing in this context.

9.8 **Discipline Event** means the following events at the Games in which an Athlete competes either alone or in a group of Athletes:

Dressage	Jumping	Eventing
Individual Competition	Individual Competition	Individual Competition
Team Competition	Team Competition	Team Competition

9.9 **ESNZ** means Equestrian Sports New Zealand Incorporated.

9.10 **FEI** means Federation Equestrian International being the international body for equestrian sport.

9.11 **Games** means the 2016 Olympic Games to be held in Rio de Janeiro, Brazil from 5 August 2016 to 21 August 2016.

9.12 **Games Team** means the New Zealand Team at the Games selected in accordance with the NZOC Selection Policy for the Games.

9.13 **HPD** means the High Performance Director of **ESNZ**.

- 9.14 **Key Events** means the key events set out in paragraph 2 of each Appendix being international, continental or national competitions which are major or pinnacle events for the sport or are at least the equivalent of a World Cup or World Championship, and have an equivalent field of competitors to that which is likely to occur at the Games.
- 9.15 **Nominated Athlete** means an Athlete who has been nominated to the NZOC by **ESNZ**.
- 9.16 **Nomination Criteria** means the criteria made up of the Over-Riding Nomination Criteria and the Specific Nomination Factors, and is also referred to as “this Criteria”.
- 9.17 **Nomination Date** means 22 June 2016 (and includes any alternative date as agreed between NZOC and **ESNZ**) by which **ESNZ** must submit any Nominated Athletes to the NZOC.
- 9.18 **NZOC** means the New Zealand Olympic Committee Incorporated.
- 9.19 **NZOC / ESNZ Agreement** means the agreement entered between NZOC and **ESNZ** for the Application, Nomination and Selection Process for the Games.
- 9.20 **Over-Riding Nomination Criteria** means the criteria set out in clause 4.2.
- 9.21 **Performance Criteria** means the performance criteria for each Discipline as set out in paragraph 1 of each Appendix of this Nomination Criteria.
- 9.22 **Specific Nomination Factors** means the nomination factors set out in clause 4.3.

Note: Other relevant documents may be found at www.olympic.org.nz

Appendix 1

1. Discipline - Dressage

1.1 Performance Criteria - The Performance Criteria to be considered for Athletes for nomination for Dressage Discipline Events at the Games are:

- a) Consistent performances at Key Events between 1 January 2015 – 19 June 2016 that show an Athlete/horse combination is capable of meeting the Over-Riding Nomination Criteria set out in clause 4.2(a); and
- b) The submission of at least 4 scores of 68% or greater achieved in the FEI Grand Prix or Grand Prix Special at the Key Events between 1 January 2015 – 19 June 2016. However, only one score obtained at Key Event under 1.2(b) can be taken into account; and
- c) The average of the two best scores submitted (in paragraph 1.1(b) above) must equal 70% or greater; one of which must be within 6 months of the Games (i.e. on/after 1 February 2016).

1.2 Key Events are:

- a) A FEI CDI 3*, CDI4*, CDI5* or CDIW which is approved by the FEI as MER Qualifying Event; and/or
- b) A national event or a foreign national event which meets the FEI Minimum Eligibility Requirement, provided that such event has been agreed to as a “Key Event” in advance by the **ESNZ** HPD.

Appendix 2

2. Discipline - Eventing

2.1 Performance Criteria: The Performance Criteria to be considered for nomination of Athletes for Eventing Discipline Events at the Games are:

- a) Consistent performances at Key Events between 1 January 2015 – 19 June 2016 that show an Athlete/horse combination is capable of meeting the Over-Riding Nomination Criteria set out in clause 4.2(a);
- b) Priority of nomination will be given to Athletes/horse combinations who:
 - Achieve consistent performances at Key Events between 1 January 2015 – 19 June 2016, demonstrating the capability of a top 3 placing at the Games; and
 - Perform at CCI4* level Key Events.

The ESNZ Selectors may request that a combination or combinations compete at Barbury Castle CIC3* 2016 prior ENSZ making their nominations.

2.2 Key Events are:

FEI CCI4* and CCI3* Events

Appendix 3

3. Discipline Event - Jumping

3.1 Performance Criteria: The performance criteria for combinations to be considered for nomination by **ESNZ**:

- a) Consistent performances in 1.50-1.60m Grand Prix classes at Key Events between 1 January 2015 – 19 June 2016 that show an Athlete/horse combination is capable of meeting the Over-Riding Nomination Criteria set out in clause 4.2(a);
- b) Consistent performances with zero jumping faults, or if a two round competition, then a clear round in the first or second round will be taken into account, in 1.50m-1.60m Grand Prix classes at Key Events; ; and
- c) In relation to the Jumping Team Competition, priority will be given to the group of Athletes who demonstrate that the team combination is capable of a top 10 placing at the Games.

3.2 Key Events are:

- a) A FEI CSI Show-jumping event; and/or
- b) A national event or a foreign national event, provided that such event has been agreed to as a “Key Event” in advance by the ESNZ HPD. For the avoidance of doubt, the NZ Horse of the Year Show and the Australian National Championships have been approved as Key Events for the purpose of this clause.