

TOKYO 2020 OLYMPIC GAMES
NOMINATION CRITERIA FOR DRESSAGE EVENTS

EQUESTRIAN SPORTS NEW ZEALAND (“ESNZ”)

1. Application of this Nomination Criteria

- 1.1 This Nomination Criteria is issued by the Board of ESNZ.
- 1.2 This Nomination Criteria shall take effect from 5 April 2019.
- 1.3 This Nomination Criteria applies to:
- (a) all Combinations wishing to be considered for nomination to the Games Team to compete in the Games in a Dressage Event; and
 - (b) ESNZ, including its Selectors, that wish to nominate Combinations to NZOC to be considered for selection to the Games Team for the Games or as Reserve Combinations.

2. Selectors

- 2.1 **Composition:** The Selectors shall be appointed by ESNZ. The Selectors who will consider nomination of Combinations to NZOC to be considered for selection in the Games Team or as Reserve Combinations are:
- Helen Hughes-Keen
 - Judy Alderdice

3. Nomination Procedure

- 3.1 **Conditions of Nomination:** The Selectors may only consider an Athlete within a Combination for nomination to the Games Team or as a Reserve Combination, if the Athlete has satisfied the following conditions of nomination:
- (a) returned a completed Athlete Application to the NZOC, in the form prescribed by the NZOC by the following dates (“Application Date”):
 - i. no later than 5.00pm 24 September 2019; or
 - ii. before 5.00pm 24 January 2020 (or such extended date as agreed by the NZOC Board) in exceptional circumstances where ESNZ provides the NZOC with evidence that the Athlete could not have been reasonably in contemplation before such date; and
 - (b) returned a completed Athlete Agreement to the NZOC, in the form prescribed by the NZOC prior to the Nomination Date; and

- (c) demonstrated to the satisfaction of ESNZ that they and their horse are not suffering any physical or mental impairment that would prevent them from competing in the Games to the highest possible standard; and
- (d) acted in such a manner so as not to bring the Athlete, the sport, ESNZ or the NZOC into public disrepute; and
- (e) to ESNZ's knowledge has not used or administered any substance which, if it had been detected as being present in the Athlete's or horse's body tissue or fluids, would have constituted doping, or used any prohibited method or committed any other doping offence as defined in ESNZ's, FEI's or NZOC's Integrity Regulation; and
- (f) from 24 January 2020 (or such extended date as agreed by the NZOC Board) has provided their name and contact address details to NZOC for the purpose of out of competition drug testing with Drug Free Sport New Zealand; and
- (g) has achieved the minimum eligibility standard for Dressage in accordance with the FEI Qualification System for the Tokyo 2020 Olympic Games ("FEI Qualification System") which is available through the FEI website; and
- (h) be born on or before 31 December 2004. All horses in a Combination must be born on or before 31 December 2012; and
- (i) has enabled their horse(s) to have had health, fitness and soundness approved by an ESNZ approved vet by the Nomination Date; and
- (j) is a member of the ESNZ Dressage High Performance Squad at the Nomination Date.

3.2 **Qualification:** New Zealand must qualify for places in an Dressage Event in accordance with FEI's Qualification System and/or FEI requirements for the Games. Qualification for a place in any Dressage Event by a Combination does not guarantee that Combination will be nominated or selected to compete in the Dressage Event at the Games.

3.3 **Nomination if Nomination Criteria Met:** Provided the requirements set out in clause 3.1 and 3.2 are met, the Selectors shall only nominate those Combinations or Reserve Combinations it considers meet the Nomination Criteria set out below.

4. Nomination Criteria

4.1 **Nomination Criteria:** The ESNZ Nomination Criteria for nomination to the Games Team is made up of two parts:

- (a) the Over-Riding Nomination Criteria specified in clause 4.2; and
- (b) the Specific Nomination Factors specified in clause 4.3.

4.2 **Over-Riding Nomination Criteria:**

- (a) In determining whether or not to nominate a Combination to Dressage Event, the Selectors must be satisfied overall that the Combination:
 - i. is capable of achieving a top 16 placing at the Games in a Dressage Event, with the potential to win an Olympic Diploma (top 8 placing); and
 - ii. has a track record of sufficient quality and depth that ESNZ believes demonstrates a Combination will be competitive at the Games and will perform creditably in the Dressage Event.

- (b) In determining whether or not the Combination or Reserve Combination has or have met the Over-Riding Nomination Criteria for Dressage Events in clause 4.2(a) above, the Selectors shall consider whether that Combination or Reserve Combination has attained the Performance Level set out below at the following Key Events during the period 1 January 2019 to 1 June 2020:

FEI CDI3*, CDI4*, CDI5* or CDIW (provided such Key Events are approved by the FEI as a MER Qualifying events)

- (c) The Performance Level to be considered for Combinations for nomination for Dressage Events are:
 - i. Consistent performances at Key Events that show a Combination is capable of meeting the Over-Riding Nomination Criteria set out in clause 4.2(a); and
 - ii. The submission of at least 4 scores of 71% or greater achieved in a FEI Grand Prix Key Event; and
 - iii. The average of the two best scores submitted (in paragraph 4.2(c)i. above) must equal 73% or greater; one of which must be between 1 January 2020 and 1 June 2020.

4.3 **Specific Nomination Factors:** When considering the Over-Riding Nomination Criteria above, the Selectors may also take into account any one or more of the following factors:

- (a) any other performances or results in competitions / events in addition to the Key Events;
- (b) adequate fitness and a commitment to maintain a fitness and training programme as agreed with the applicable coach(es);
- (c) demonstrated good behaviour, including a commitment to training and attendance at training camps;
- (d) demonstrated compatibility with others in a team environment;
- (e) demonstrated compliance with the rules of events and competitions;

- (f) understanding and respect for the obligations that accompany being a member of a New Zealand team when competing at the Games, including respect for team members and support staff;
- (g) willingness to promote ESNZ in a positive manner;
- (h) demonstrated ability to take personal responsibility for self and their results;
- (i) proven ability to be reliable; and
- (j) any other factor(s) the Selectors consider relevant.

4.4 **Own Enquiries:** In considering any one or more of the above factors, the Selectors may make such enquiries of the Athlete, Combination, or other persons, as they see fit.

4.5 **Weight to be Given to Specific Nomination Factors:** The Selectors may give weight to any one or more of the Specific Nomination Factors and, if it does, to apply such weighting to one or more Combinations. No particular factor shall be weighted more or less significantly by reason only of the order in which that factor appears in this Nomination Criteria.

4.6 **Extenuating Circumstances:** In any decision regarding the nomination of Combinations to the Games Team or as a Reserve Combination, the Selectors may, in their sole discretion, take into account any extenuating circumstances in accordance with clause 5 below.

4.7 **Nomination Procedure:** After consideration of this Nomination Criteria, the Selectors shall follow the procedure set out in clause 9 of the NZOC Nomination and Selection Regulation.

5. Extenuating Circumstances

5.1 **Extenuating Circumstances:** In considering the nomination of Combinations or Reserve Combinations in accordance with this Nomination Criteria, the Selectors may, in their sole discretion, give weight to any extenuating circumstances which may include, but are not limited to, the following:

- (a) injury or illness;
- (b) travel delays;
- (c) equipment failure;
- (d) bereavement or personal misfortune; and/or
- (e) any other factors reasonably considered by the Selectors to constitute extenuating circumstances.

5.2 **Athlete to Advise:** Athletes or Combinations unable to compete at events, trials, or other attendances required under this Nomination Criteria, must advise the General Manager of the extenuating circumstances and reasons, in writing, with as much advance notice as possible and ideally seven (7) days prior to the commencement of the event, trial or other

attendance. If the General Manager is not notified of any extenuating circumstances in accordance with this Nomination Criteria, then the Selectors have no obligation to rely on such circumstances.

- 5.3 **Medical Certificate:** In the case of injury or illness, Athletes and/or their horses may be required by the Selectors to provide a medical or vet certificate and/or to undergo an examination by a health practitioner/s or vet nominated by the Selectors, and to provide that opinion and/or report to the Selectors. Any failure to agree to such a request may result in the Selectors being unable to consider the injury or illness as an extenuating circumstance.
- 5.4 **Case by Case:** In the case of any extenuating circumstance/s, the Selectors will make a decision on a case-by-case basis.

6. Appeal Procedure

- 6.1 **Nomination Appeals:** An Athlete may appeal to ESNZ against their non-nomination to the NZOC by the Selectors provided that the Athlete has returned a completed:
- (a) Athlete Application to the NZOC by the Application Date; and
 - (b) Athlete Agreement to the NZOC by the Nomination Date.
- 6.2 **Procedures for Nomination Appeals:** Any appeal under paragraph 6.1 must be made in accordance with the procedures set out in clause 13 of the NZOC Nomination and Selection Regulation.

7. Inconsistencies

- 7.1 **NZOC Nomination and Selection Regulation:** In the event there is any inconsistency between this Nomination Criteria and the NZOC Nomination and Selection Regulation, the NZOC Nomination and Selection Regulation shall prevail.
- 7.2 **FEI Qualification System:** If this Nomination Criteria imposes a higher qualification standard or a lesser number of participants than stated by the FEI Qualification System, this shall not be regarded as an inconsistency.

8. Amendments to this Nomination Criteria

- 8.1 **Prior to Nomination Date:** This Nomination Criteria may be amended or supplemented from time to time by the Board, before the Nomination Date, provided NZOC has approved the amendment/s and supplement/s.
- 8.2 **Notice:** The Board will give as much notice as possible of any amendment/s or supplement/s made under clause 8.1 to this Nomination Criteria, to persons it considers may be affected by any such amendment/s or supplement/s.

9. Definitions

- 9.1 **Application Date** means the dates set out in clause 3.1(a), by which Athletes must submit a completed Athlete Application in accordance with clause 8.3 of the NZOC Nomination and Selection Regulation.
- 9.2 **Athlete** means a person who wishes to be considered for nomination within a Combination to the Games Team.
- 9.3 **Athlete Agreement** means the agreement that must be completed by any Athlete wishing to be considered for nomination and selection to the Games Team in accordance with clause 8.4 of the NZOC Nomination and Selection Regulation.
- 9.4 **Athlete Application** means the form set by the NZOC that must be completed by any Athlete within a Combination applying to be nominated and selected to the Games Team.
- 9.5 **Board** means the Board of ESNZ as constituted under the Constitution.
- 9.6 **Combination** means the Athlete and the horse with whom they wish to be considered for nomination to the Games Team.
- 9.7 **Constitution** means the Constitution of ESNZ.
- 9.8 **Dressage Event** means an individual or team dressage equestrian event in the Games in which a Combination competes either alone or together as a team of three Athletes and their horses.
- 9.9 **ESNZ** means Equestrian Sports New Zealand.
- 9.10 **FEI** means the International Equestrian Federation, the international federation for ESNZ.
- 9.11 **Games** means the 2020 Olympic Games to be held in Tokyo, Japan from 24 July – 9 August 2020.
- 9.12 **Games Team** means the New Zealand Team at the Games selected in accordance with the NZOC Selection Policy for the Games.
- 9.13 **General Manager** means the General Manager of ESNZ and includes his / her nominee.
- 9.14 **Key Events** mean international, continental or national competition listed in clause 4.2(b) which is a major or pinnacle event for the sport or is at least the equivalent of a World Cup or World Championship, and have an equivalent field to that which is likely to occur at the Games.
- 9.15 **Nominated Combination** means a Combination who has been nominated to the NZOC by ESNZ.
- 9.16 **Nomination Criteria** means the criteria made up of the Over-Riding Nomination Criteria and the Specific Nomination Factors, and is also referred to as “this Criteria”.

- 9.17 **Nomination Date** means on or before 16 June 2020 (and includes any alternative date as agreed between NZOC and ESNZ), by which ESNZ must submit any Nominated Combinations and Reserve Combinations to the NZOC.
- 9.18 **NZOC** means the New Zealand Olympic Committee Incorporated.
- 9.19 **NZOC Nomination and Selection Regulation** means the regulation of the NZOC relation to the nomination and selection process for all Olympic and Commonwealth games, including the Games.
- 9.20 **Over-Riding Nomination Criteria** means the criteria set out in clause 4.2.
- 9.21 **Performance Levels** mean the level of performance set out in clause 4.2(c).
- 9.22 **Reserve Combination** means a travelling and/or non-travelling reserve Athlete and the horse with whom they wish to be considered, as designated by the Selectors and in accordance with the FEI Qualification System and the Tokyo 2020 Sport Entry Manual.
- 9.23 **Selectors** means the selectors appointed by ESNZ in accordance with clause 2 of this Nomination Criteria.
- 9.24 **Specific Nomination Factors** means the nomination factors set out in clause 4.3.